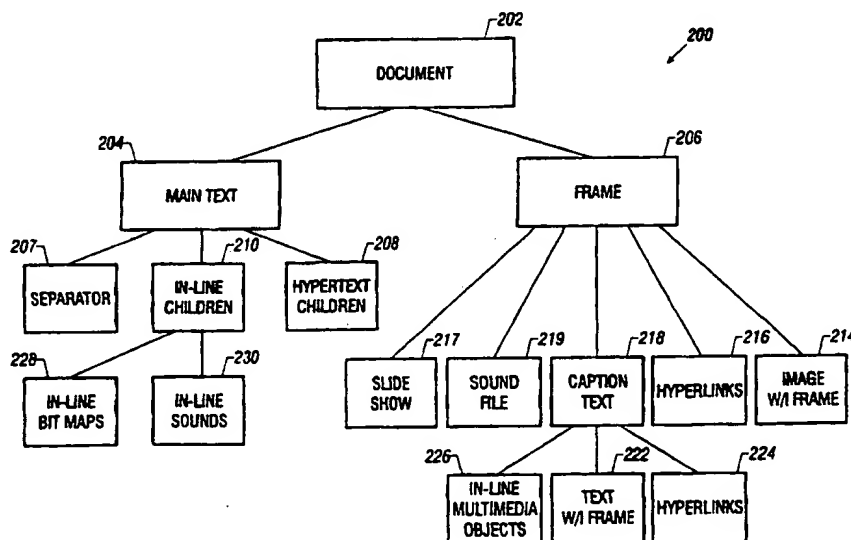




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification <sup>6</sup> :  <b>G06F 7/32</b>	<b>A1</b>	(11) International Publication Number: <b>WO 98/54637</b>  (43) International Publication Date: 3 December 1998 (03.12.98)
(21) International Application Number: PCT/US98/11060  (22) International Filing Date: 29 May 1998 (29.05.98)  (30) Priority Data: 08/866,857                      30 May 1997 (30.05.97)                      US  (71) Applicant: AMERICA ONLINE, INC. [US/US]; 22000 AOL Way, Dulles, VA 20166 (US).  (72) Inventor: CORBOY, David; 241 Baywood Drive, Newport Beach, CA 92660 (US).  (74) Agents: HARRIS, Scott, C. et al.; Fish & Richardson P.C., Suite 1400, 4225 Executive Square, La Jolla, CA 92037 (US).		(81) Designated States: AU, BR, CA, JP, MX, European patent (AT, BE, CH, CY, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE).  <b>Published</b> <i>With international search report.          Before the expiration of the time limit for amending the claims and to be republished in the event of the receipt of amendments.</i>

(54) Title: ENCAPSULATED DOCUMENT AND FORMAT SYSTEM



## (57) Abstract

Methods for producing and multiplexing a file format, as well as structures for a hierarchical file format and data file are provided. The data file may include data that is divided in a hierarchical manner, including a highest level document portion (202) that supports all lower level portions of the data file. The hierarchical data file forms a multimedia document that can be displayed on a computer display with accompanying audio. The multimedia document may include data in a variety of file formats, including image data (214), sound data (217), textual data (218) and video data. At least some of the data is preferably multiplexed in the data file so that the multiplexed data can be progressively played and displayed as it is downloaded by the computer. Data that can not be progressively played need not be multiplexed in the data file and can be located in an area of the data file separate from the multiplexed data.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon		Republic of Korea	PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

- 1 -

**ENCAPSULATED DOCUMENT AND FORMAT SYSTEM****BACKGROUND OF THE INVENTION****Field of the Invention**

This invention relates to producing a data file  
5 having different file format elements encapsulated within  
the data file. More particularly, the present invention  
relates to a data file in which different types of data,  
such as video and sound, are stored in a unified  
encapsulated format that can be repeatedly assembled,  
10 stored, and sent.

**Description of the Related Art**

Modern computer files may include parts  
representing various kinds of information. The parts are  
specially coded to represent the information. Moreover,  
15 each set of bits in modern computer files is coded in a  
unique way to represent a unique kind of information. For  
example, the ASCII coding system assigns a bit sequence  
to each alphanumeric character of the ASCII set. That bit  
sequence represents the character, however, only if one  
20 knows that it is ASCII.

Bits can also be used to represent image and sound  
data. For example, image data may be represented in  
BITMAP format, or in various compressed image data  
formats. The set of bits itself, however, has no meaning  
25 unless one knows the format. The ASCII code for a  
particular alphanumeric character could equally well  
represent a sequence of sound, or a portion of an image.  
Different kinds of files are used, therefore, to transfer  
different kinds of information.

- 2 -

It has become highly desirable to transmit different kinds of information, such as graphics, video, and/or sound, over a channel to a user. A so-called multimedia document includes different kinds of

5 information. One example of a multimedia document is found in hypertext markup language (HTML) encoded format on the worldwide web. This information is a document in the sense that, once downloaded, a document-like image appears on the computer display. (As used in the

10 remainder of the description, the term document is to be construed broadly to incorporate data files that include text, images, and/or other information that can be presented on a computer like a printed document.) The display shows rich text (i.e., text that is coded in

15 terms of its size, color, and font) as well as images located at different locations within the document. A worldwide web downloaded document can also include sound.

The various kinds of information in a multimedia document are each formed from a separate file that

20 includes instructions about where the pieces of the document should go. For example, an HTML document includes rich text information, along with commands to insert additional information. Such additional information, typically GIF or JPEG image files, is

25 separately downloaded and inserted into the text of the document.

The original format for downloading HTML documents displayed the formed document only when it was completely downloaded. While modern enhancements allow portions of

30 the image to be displayed while downloading, most do not allow playing of the sounds added to the download until the sound file is completely received.

- 3 -

The download of HTML documents cannot be sequenced or choreographed, because information is downloaded as it becomes available. For example, if the document includes multiple images, they may come in any order. Moreover, 5 actions may not be choreographed with image download or with text download.

It is difficult to save an HTML document, because the document is a text document that includes commands for additional images. The images are not part of the 10 HTML document, but rather separate sub-documents. The perceived display of an HTML documents is thus assembled from separate downloaded pieces of information. HTML documents cannot be easily saved, however, because no connection exists between the various pieces of 15 information and the various sub-documents. Moreover, HTML documents cannot be easily reassembled or resent. While proposals do exist for "encapsulated" HTML that would allow an HTML document and its component parts to be concurrently saved for later viewing or forwarding, these 20 proposals suffer from a variety of restrictions, and none would allow for data to be choreographed by the author. For the remainder of this description, choreographing will refer to allowing a document author to select or define the relative times at which various document 25 components, such as images, text, or sound, are played or displayed by a computer.

#### **SUMMARY OF THE INVENTION**

The present invention provides a system and method for forming document files as well as a file format, each 30 of which can include integrated information. The information is stored in a special form that allows parts to be viewed while forming the file.

- 4 -

Preferably, all of the information, of all of the different kinds, is stored in the file in a unified, encapsulated format. In an encapsulated document, therefore, the data defining the document is integrated  
5 into a single file containing the data and having an overall format defining the document. As a result, the file can be repeatedly stored and sent, because the encapsulated format facilitates keeping the file structure intact after the user has viewed the file.

10 Alternatively, only the formatting data may be contained in the document encapsulation (i.e., the size, position, and other display characteristics of the document, irrespective of the actual document data). The actual document data could then be stored in and  
15 retrieved from an external source, such as an URL, an HTML document, or a cache, or from another source, such as a CD. The user can still save and resend the encapsulated document, without the problems of HTML, as the document data can be retrieved from the external  
20 sources and encapsulated into the document.

The invention can multiplex different forms of different data together. The multiplexed data is in a streaming format, also known as a progressive rendering format, especially when dealing with image formats. The  
25 streaming format data, once downloaded, causes the display to change on an incremental basis. Further downloading occurs simultaneously with the displaying of the file.

Certain kinds of data images may be in a non-  
30 streamable format. For example, images that are not susceptible of progressive rendering cannot be sent in a form that facilitates updating user perception on an incremental basis. The preferred mode of the invention,

- 5 -

however, updates user perception of the displayed data whenever available.

It is another object of the present invention to provide a system that permits a number of different file  
5 formats to be encapsulated in a way that enables choreographing between the file elements.

Special techniques of the present invention are used with so-called temporal data. In the present specification, temporal data includes data that must be  
10 presented to the user during a specific time span, for example, sound files. Once a sound file begins playing, it should be played uninterrupted to avoid an unnatural feel to the sounds. The temporal operations of the present invention are used as part of the choreography.  
15 This encapsulated data format is especially advantageous when combined with the special modules of the present invention that are described in this specification. The present invention recognizes temporal data objects and creates a multiplexed format in which temporal  
20 presentations are accurately conveyed to the user.

In a first embodiment, the invention is a method for producing a hierarchical data file for a multimedia document. The data file has different file formats encapsulated within the data file. The method includes  
25 several steps: (a) encapsulating in a multimedia document a first file support object including information in a first file format; (b) supporting the first file support object by the multimedia document; (c) encapsulating in the multimedia document a second file support object  
30 including information in a second file format different from the first file format; and (d) supporting the second file support object by the multimedia document.

- 6 -

In another embodiment, the invention is a hierarchical data file structure that encapsulates different file formats to form a multimedia document. The multimedia document is capable of being displayed on a display of a computer system. The data file includes a document that includes information for controlling the display. The data file also includes a first support object including information in a first file format. The first support object is encapsulated in the document and is capable of supporting first lower objects. Each first lower object is a lower level object than the first support object in the hierarchical data file structure. The data file also includes a second support object including information in a second file format different from the first file format. The second support object is also encapsulated in the document and is capable of supporting second lower objects. Each second lower object is a lower level object than the second support object in the hierarchical data file structure.

In still another embodiment, the invention is a method for encoding a framed image in a frame to be included as part of a multimedia document. The multimedia document encapsulates data in different file formats and is capable of being displayed on a display of a computer, which includes a player. The method comprises: (a) placing an image into the multimedia document; (b) receiving information about the image by the player; (c) decoding the image information; (d) sending the decoded image information to the multimedia document; and (e) enclosing the decoded image in a frame in the multimedia document.

In another embodiment, the present invention is a method for multiplexing data in a multiplex message that



- 7 -

includes data in different file formats. The file formats are selected from a group of file formats including a textual format, an image format, and a sound format. The multiplex message forms at least a portion of a

5 multimedia document and includes object files, each object file being represented by at least one data slice. The method includes providing an object number counter in the data file indicating the number of object files following the object number counter in the data file. The

10 method further includes providing object descriptions, each object description describing a corresponding one of the object files. The method also includes providing a choreography group including the data slices of the object files interleaved in a predetermined manner.

15 The details of the preferred embodiment of the present invention are set forth in the accompanying drawings and the description below. Once the details of the invention are known, numerous additional innovations and changes will become obvious to one skilled in the

20 art.

- 8 -

**BRIEF DESCRIPTION OF THE DRAWINGS**

FIGURE 1 is a block diagram showing a system architecture of the present invention.

FIGURE 2 shows the overall hierarchy of the  
5 layered parts of a document, including the hierarchy of a framed image.

FIGURE 3 shows an overall display of a multimedia document formed according to the hierarchy of FIGURE 2.

FIGURE 4 is a flow diagram showing the steps by  
10 which a code segment receives image information.

FIGURE 5 shows a file format of the present invention.

FIGURE 6 shows a multiplexed scheme in accordance with the invention.

FIGURE 7 shows an example of choreography of a  
15 document.

FIGURE 8 shows the default method for organizing a document of the present invention.

Like reference numbers and designations in the  
20 various drawings indicate like elements.

- 9 -

**DETAILED DESCRIPTION OF THE INVENTION**

Throughout this description, the preferred embodiment and examples shown should be considered as exemplars, rather than as limitations on the present invention.

**I. System Architecture**

The overall system architecture 100 of the invention is shown in FIGURE 1. A bit stream 102 is input to the system 100. The input bit stream 102 represents a new special data format that is referenced in this specification as the "ART Format." The ART Format is an encapsulated data format that includes various types of data.

The bit stream 102 is input from an overall information provider 104, for example, an Internet provider such as America OnLine®, to the input function of a player wrapper 106 as the bit stream 102 is received. The player wrapper includes a player dynamic link library (DLL) 108. The player DLL 108 separates and commands play of the various information in the bit stream 102. The playing is carried out using a technique known as "ART DOC Extensions," which is also embodied as a DLL. Although the playing systems are described as being embodied as DLLs, the playing systems alternatively could be embodied as dedicated hardware components, e.g., digital signal processors. Other examples of the player wrapper 106 include a Netscape or ActiveX plug-in. Player wrappers 106 can also allow editing and creation of multimedia documents.

A module of the player wrapper 106 may use a plug-in, rich text format text processor. The preferred plug-

- 10 -

in text processor is Paige™, commercially available from Datapak, Inc. Paige™ carries out many different operations and allows other modules to tell Paige® what operations to perform what ways. Paige™ can respond to  
5 commands to use various fonts, place text within a multimedia document, and reserve other places within the document for other items, such as image data or video. It will be understood, of course, that alternate software packages and systems could be used.

10           The Paige™ text processor may perform the displaying of the document. In such a case, Paige™ communicates with a currently selected window on the computer display.

          The preferred framework of the present invention  
15 includes various overheads, such as clipboard, command stacks for undo, and other well-known overhead systems.

## **II. Construction and Features of an ARTDOC Document**

          The multimedia document is ordered as a series of layered parts. FIGURE 2 shows the overall hierarchy  
20 of the layered parts of a document (referred to herein as an "ARTDOC document") in accordance with the present invention. The highest layer of the ARTDOC document is the document object 202. The document object 202 controls information for the entire active display window,  
25 including commands for background colors and enclosing windows.

          Each document portion has a capability of supporting "children," which are subspecies of the ARTDOC document. The document object 202 shown in FIGURE 2  
30 supports two children—a main text object 204 and a frame object 206. The main text object 204 can include other children, including separator children 207, hypertext

- 11 -

children 208, and in-line children 210. The hypertext children 208 can include links to other documents, which can be ARTDOC document images or any other document. The in-line children 210 can include in-line bit maps 228, 5 sounds 230, or other multimedia objects, which can be displayed as part of the ARTDOC document. The separator children 207 may provide visual lines to separate text.

The frame 206, as shown, also includes a number of children. For example, the frame 206 includes an image 10 214 within the frame 206, hyperlinks 216 regarding the image 214, a sound file 219, and a slide show 217. The framed image 206 may also include caption text 218, which may also include text within a frame 222, hyperlinks 224, and in-line multimedia objects 226 like the main text 15 object 204.

FIGURE 3 shows an overall display for an ARTDOC document 300 formed according to the hierarchy of FIGURE 2. A number of objects may be embedded within the document 300, along with text 310. The Paige™ word 20 processing program, as part of its function, controls wrapping of lines, etc., around the images displayed in the document 300. Paige™, as now commercially available, allows its areas to be set-up and controlled. Paige™ also allows certain areas to be defined that are not Paige™ 25 areas, for example, an image and/or its caption.

The system according to the present invention uses these Paige™ capabilities to create an exclusionary area 302 within the document 300. A frame object 304, in this case including an image 305, is located within the 30 exclusionary area 302, which effectively forms an invisible frame around the frame object 304. The enclosed objects can include framed images, slide shows, framed

- 12 -

text, sounds, separators, and hyperlinks, which are all described in detail below.

A similar operation is carried out for the captioning operation, by which a caption 306 is placed adjacent the object 304, but within the exclusionary area 302. In this example, a hyperlink 308 is positioned over the graphical image 305. The hyperlink 308 may be an area of the image object 305 that one can select, for example, by a mouse click, to determine something else about the image object 305.

The hierarchical structure 200 of FIGURE 2 facilitates moving positions of objects within the ARTDOC document. Each object within the ARTDOC document is formed of a number of objects and sub-objects. The sub-objects are children of the objects. The hierarchical system 200 according to the present invention, as described above, allows a higher level object to be moved together with all of its lower level objects (i.e., sub-objects). In the example of FIGURE 2, frame 206, image 214, hyperlink 216, and caption text 218 (with its children, text 222, hyperlinks 224, and in-line multimedia objects 226) are moved together with the frame 206 when it is moved. Therefore, for example, moving a frame will move not only the image within that frame, but also the hyperlinks and text within the frame. This same operation can be used with a delete command. Deleting the frame object deletes the frame, the image, the hypertext, and all of the other associated parts.

Each action (e.g., a move command) is passed to the object, and the object decides what to do with that action. Thus, for example, to move a frame 206, a mouse operation is used to move the position of the frame 206 from a first position to a second position. The mouse

- 13 -

operation is handled in the standard way by the operating system, which hands off the new position to the player 106. The player 106 then passes this new position to the core DLL 108, which passes it to the object. Now, the  
5 object knows not only its new position, but also the new relative positions of all the sub-objects within the object.

The ARTDOC document supports a number of different objects. In general, three types of objects exist: those  
10 with a visual representation, those with an audio representation, and those with specialized functions. Examples of objects with visual representation include images, which are generally static bitmaps. Objects with audio representation include digitally sampled sounds,  
15 which may be WAV or AIFF files, and MIDI files, which are usually played through an FM synthesizer. Specialized objects include frame objects, separator objects, and hyperlink objects. Frame objects hold multimedia objects, such as images, sampled sounds, and MIDI files,  
20 and also may provide a visual representation of the document. Separator objects provide a visual horizontal line (of different styles) that either separate paragraphs of text (moving with the text as it is reformed), or may exist at a geometrical position in the  
25 document. Hyperlink objects allow navigation to other content described by the author of the document. The present invention also supports ART file objects, which are described in copending U.S. Patent Application Serial No. 08/755,586, assigned to the assignee of the present  
30 application. ART file objects include slide shows, sound and picture objects, ART sounds, and ART images. Any type of document can be contained inside an ARTDOC document by creating an ARTDOC extension module for the object.

- 14 -

Each object may include some kind of command for perception. Typically the perception of the object will be displaying of video or listening to sound. The command for perception will be a command indicating the kind of  
5 player that is used to produce the perception. If the player is not resident in the playing computer, various steps are taken as described herein.

In addition, each object may be able to pass and receive messages and to supply and retrieve its data. As  
10 an example of these functions, suppose the author wants to create an ARTDOC document that includes a video object (which includes image and audio portions) from a third party library. The library for the video object is "wrapped" with an ARTDOC document module, effectively  
15 creating an ARTDOC extension. This "wrapper" module allows the ARTDOC system to communicate with the third party library so that it can become part of the ARTDOC document. The wrapper module provides the translation layer and interprets and provides messaging between the  
20 ARTDOC document and the third party library. Referring to FIGURE 1, as the data stream 102 is received, the data stream meant for the video object is extracted and passed to the ARTDOC video object that wraps the third party library. The wrapper module then passes the data to the  
25 library, which interprets the data. The ARTDOC document cannot interpret the data, because it only carries the data. The library then interprets the data and provides, for example, a new video image and sound bite back to the wrapper module. The wrapper module, in turn, communicates  
30 back to the ARTDOC document, which displays the image at the proper place in the document. The video object may communicate with sound hardware directly to provide the sound. The system may work in this manner for all



- 15 -

objects, including the text that is passed to the rich text processor and the data that is passed to the player 106.

When authoring an ARTDOC document, the user may  
5 produce some text using the Paige™ system. Paige™ will place the text on the computer display according to the desired type of rich text characteristics. Now, if the user wants to place an image within the ARTDOC document, the user employs a mouse, for example, to drag and drop  
10 the image into the ARTDOC document. The computer operating system that controls and monitors mouse operations passes the new information indicative of the mouse information (e.g., the drag and drop information) into the document 202 shown in FIGURE 2.

15 The document 202 forms a code segment that receives image information according to the flow diagram of FIGURE 4, which constructs the particular frame 206. The process 400 begins at step 402, where an image, e.g., a GIF image, is dropped into an ARTDOC document. The  
20 operating system sends information about the image to the player wrapper 106, which notifies the ARTDOC document at step 404. The information itself is then passed to a decoder at step 406. The decoder determines the data format (here GIF) and provides size information and  
25 visual representation back to the ARTDOC document at step 408. The ARTDOC document encloses the image in a frame and adopts the component object as a new child in step 410. The position of the ARTDOC document may be given by the original operating system mouse location.

30 An important feature of the present invention is that the objects forming the images may be generic. Thus, all objects have the same characteristics and can be connected together to form parts of the ARTDOC document.

- 16 -

The preferred items forming the objects include a framed image, a slide show, framed text, sound, a separator, and a hyperlink. Each of these will now be described in detail. Many of these objects may be  
5 provided by the player 106 as an ARTDOC document extension.

Frame objects (e.g., frame 206) are containers that perform several tasks in an ARTDOC document. First, and most significantly, they define the exclusionary  
10 areas within the page around which the text will wrap. Second, they contain the multimedia types described above, i.e., images, sounds, video, etc., or text objects, thereby creating framed text objects. Each of these multimedia types and text objects may also have  
15 further children, as described above. Finally, frame objects may provide a visual representation as an enclosing border at the author's option. Examples of visual representation options are color, thickness, pen style, outer margins, and three-dimensional effects,  
20 which can be fully implemented by the ARTDOC document.

Image objects (e.g., image 214) have an original size and provide a static visual representation. The author may override the original size. Image objects may be contained in frames or may appear in-line in a block  
25 of text and may be provided by the ART player 106 as an ARTDOC document extension.

Sound objects do not have a size, but are given the appearance of a sound icon if they are authored without a visual component. Sound objects may be  
30 contained in frames (e.g., sound file 219) or appear in-line in a block of text (e.g., in-line sound file 230). Sound objects may be of the sample wave type or of the sequenced MIDI type. Sound objects may be played by

- 17 -

clicking on the visual representation or by other direction of the user and may also be provided by the ART player 106 as an ARTDOC document extension.

Sound and picture objects are a combination of a  
5 sound component and an image component, giving the visual representation of an image, but allowing the sound to be played when clicked. Like sound and image objects, sound and picture objects may be provided by the ART player 106 as an ARTDOC document extension.

10 Non-static multimedia objects include slideshows (e.g., slideshow 217) and video, as well as any similar moving-image-with-sound type of representation. Non-static multimedia objects are displayed as an image (optionally with a title page, if supported) until the  
15 user clicks or directs the object to be played, which results in a changing image, usually accompanied by sound. These objects may also be provided by the ART player 106 as an ARTDOC document extension.

Textual objects may appear in a frame (sometimes  
20 referred to as framed text objects, e.g., text 222); they may also appear in the form of the main body text (e.g., main text 204) and as captions for images (e.g., caption text 218). Text objects flow within the boundaries of the enclosing object and are stored in the file as an RTF  
25 data stream with embedded hyperlinks. Textual objects are implemented as an ARTDOC wrapper around the Paige® text processor.

Separator objects (e.g., hypertext 208) are used to provide a visual separation between paragraphs of text  
30 or other objects. Separator objects allow all the visual representation options as do frames, but do not contain objects. Separators are often used with "Anchor" geometry, which will be described below. Separator

- 18 -

objects may be fully implemented by the ARTDOC document itself.

Finally, hyperlink objects (e.g., hypertext 208) are associated with any of the multimedia object types (images, sounds, slideshows, etc.) or with textual objects. When associated with multimedia objects, the hyperlink is described as a geometric (preferably rectangular) region on the associated object. When associated with text, the text appears visually different according to the author's specification. The user receives feedback when moving the mouse over a hyperlink region or hyperlink text, which includes a description of the location to which the hyperlink points. When the user clicks on the region or text, the appropriate information is retrieved and displayed for the user, or the user is taken to the location designated by the hyperlink pointer. Hyperlink objects are also fully implemented within the ARTDOC document.

The architecture of the ARTDOC document of the present invention is fully extensible, meaning that the document will support any kind of multimedia object. This includes image, sound, video, and text stream object. With any multimedia object, the data for that object will be delivered and progressively displayed or played.

The "geometry" of an object refers to the specification for the dynamic positioning of the object within the bound of its parent or controlling object. Any visual object in an ARTDOC document has four edges: left, top, right, and bottom. The boundaries of such an object can be fully determined by applying a single "rod," "spring," "measure," or "anchor" to each edge. A rod is a fixed delta in parent coordinates from an edge of a child

- 19 -

object to the same edge of its parent object. A spring is a percentage of the parent's total width or height from which the position of the child's edge should be determined. Springs calculate from the center of the object when paired with a measure; otherwise, the position of each edge is calculated independently. An anchor is a fixed delta in parent coordinates from the bottom edge of the first character of a paragraph to the top edge of a child object. A measure is the length of an edge (width or height) of an object and is used to fix the width or height.

The most common geometry is that of a fixed-position object that is described using a rod for the top and left sides of the object and a measure from the bottom and right sides. An object with this geometry retains its relative position from the top, left corner of the controlling object (in this example, the parent document) and also does not change size when the document is resized. An object with horizontal centering geometry is created by using a spring (set at 50%) for the left edge and a measure for the right edge. This causes the position of the object to move as the document resizes (remaining in the center), but still does not change the size of the object.

Other applications may include a geometry that attaches an object to the right edge of a document by using a left-edge measure and a right-edge rod. Allowing an object to grow with the document is also supported by attaching rods to both sides and not using measures, thus removing the fixed-measure constraint.

The anchor geometry setting allows an object's vertical position to be dependent on the position of a block of text. This setting is most often used with

- 20 -

separators to ensure that they remain between the blocks of text that they separate, but can be used with any object.

### III. The ARTDOC Document File Format

5           FIGURE 5 shows the preferred ARTDOC document file format 500 of the present invention, which includes a header area 502, an object archive 504, and a multiplex section 516. The header area 502 includes typical header information, such as start bits and version number, and  
10 any other overhead information.

          The object archive 504 follows the header area 502 in the file format 500. The object archive 504 stores object information, but does not store the data associated with that information. The object archive 504  
15 includes the information from the hierarchy of FIGURE 2. Each element in the hierarchy 200, from top to bottom, is used to provide an explanation of the information about each element of the hierarchy and its children. In the preferred embodiment of the present invention, the  
20 document is traversed in a "depth-first" manner, meaning that the layout and attributes of each element of the document are provided in the object archive 504. Consequently, the object archive will include information about the geometry (layout, position, and size, as  
25 described above) of the document, as well as complete descriptions of the document attributes, such as the frame.

          The object archive 504 is the first information received by a receiving element. Hence, the receiver can  
30 display layout information about an ARTDOC document as soon as the receiver has received the object archive 504.

- 21 -

As explained above, however, the data to fill that layout is not received with the object archive 504.

The invention may have several features and requirements. First, the invention may allow a first  
5 portion of an object's data to be a splash or "miniature representative rendering" of the object. Whether an image has a splash is determined by the particular format of the object in question. The splash data, if present, appears at the front of the image stream in order to  
10 provide the splash early in the download. The splash image indicates some very coarse information about the content of the multimedia objects in the ARTDOC document. The splash information is progressively updated as more data arrives that describes the images. More items of  
15 image information can be provided to the object to display more information. Text can also arrive and be displayed.

The data for each of the multimedia objects (e.g., images, sounds, text streams, or video) is delivered in  
20 the multiplex section 516 of the ARTDOC file 500. The data is generally the same data that would be resident in a file containing the object alone, without any of the geometry and attribute information, as this information is contained in the object archive 504. The multiplex  
25 section 516 represents the bulk of the data stream.

In the multiplex section 516, data is interleaved together according to the "choreography" of the document. The term choreography, as used herein, refers to the ordering of the multimedia objects in the ARTDOC file  
30 format. When objects, such as images, are designated to appear during the same time interval, their data is interleaved together in the multiplex section 516. As the ARTDOC file 500 is received, the interleaved data stream

- 22 -

is decomposed, and the appropriate data is delivered to each object, progressively updating each object's visual appearance in the display of the ARTDOC document.

Some classes of objects, however, are not  
5 interleaved with other objects in the multiplex section 516, regardless of the author's choreography designation. The first class includes those objects that cannot be progressively rendered. MIDI files and most standard types of audio and video files are examples of this class  
10 of objects. Because these objects must be completely downloaded before they can be interpreted, no benefit flows from interleaving their data. The second class of non-interleaved objects includes files that are designed to be played back progressively, but that are authored  
15 for a particular bandwidth. These objects are referred to as time-based or "temporal" files. Examples of such temporal files are certain audio and slide show files that cannot be interleaved with other data without slowing the delivery of their own temporal data and  
20 risking starving their players of data.

The classes of objects that are not interleaved are detected by the ARTDOC document and are placed in their own choreography groups within the multiplex section 516. These groups may be arranged with  
25 interleaved multiplex groups without degrading document playback, as interleaved and non-interleaved groups do not overlap.

By interleaving certain data, such as images, and separating temporal files, the present invention permits  
30 the incremental "display" of the document (including sounds and images) to the user. As described above, images can be multiplexed or mixed together so that they arrive and are displayed at substantially the same time.



- 23 -

The constraints of narrow-band, i.e., modem communication, however, generally prevent interleaving of the temporal objects; the quality of their playback would likely suffer if they were arbitrarily mixed together.

5 None of the proposed encapsulated formats for HTML allows such an incremental display and do not allow for the proper organizing of temporal information for narrow-band applications. The proposed HTML formats rely on broad  
band communication, meaning that these formats may  
10 arbitrarily mix the data, regardless of the type of data, and may sequentially store objects, sacrificing either the audio or video presentation of the HTML document.

It is known that particular object data, such as text streams, may not be compressed. The ARTDOC document  
15 can compress such data itself into the multiplex section 516. If this is done, the compression flag (see reference numeral 708 of FIGURE 7) is set.

An unknown object is a special type of object within the ARTDOC format. The unknown object is an object  
20 that probably has a defined player, but the computer receiving the unknown object does not recognize the defined player. The unknown object includes the information contained in the object archive 504 (described above), because the unknown object is a type  
25 of object. Therefore, the receiving computer knows where this information is and how large it is. Hence, the player 106 can draw the outlines of the unknown object, without putting the particular details in it. At least some of the data from the multiplex section 516 is  
30 provided to the unknown object, which displays an "unknown" icon. Although that data cannot be displayed, it can be carried around by the file embodying the unknown object, and the file can be saved intact.

- 24 -

Moreover, later downloads of coded information can be made to allow playing of the unknown object. For example, the unknown object may reference a DLL of which the playing system 106 has no knowledge. Later download  
5 of the DLL may allow later display of the unknown object.

The ability to support unknown object types also allows the use of user-defined object types. So long as the object type follows the conventions given above, it can be made into part of the ARTDOC format, and  
10 encapsulated within the ARTDOC document.

#### **IV. The Multiplexed Scheme**

The preferred embodiment of the present invention uses a multiplexed scheme, as noted with respect to FIGURE 5. The multiplexed scheme preferably employs  
15 "slices" of information. Each slice represents a piece of information that can make some degree of difference in the perceived ARTDOC document. A slice may be a segment of audio between any two natural pauses in the audio, a piece of an image that causes a further update of the  
20 image, or a block of text.

FIGURE 6 shows the preferred multiplex message 516 in accordance with the multiplexed scheme of the present invention. The multiplex message 516 includes an object number counter 602. The object number counter 602  
25 includes an indication of the number of "objects" that will follow in the object section of the multiplexed information.

Object descriptions 604 follow the object counter 602. The object descriptions 604, being of the same  
30 number as the object number counter 602, include an object reference 606 that provides a back reference to the object information stored in the object archive 504

- 25 -

and a flag 608 indicating whether the object data was compressed by the ARTDOC document.

Following the object descriptions 604 are choreography groups 610. There may be any number of  
5 choreography groups 610 (as described below) corresponding to the ordering of interleaved data within the document. When no further groups exist in the multiplex section 516, a single zero-byte 624 is used to mark the end of the multiplex section 516.

10 A choreography group 610 includes an object counter 612 that indicates the number of objects contained in the particular choreography group 610. Objects may appear in different groups, providing a portion of their data from within each group. Following  
15 the object counter 612 is a section 614 providing the size and type information for each object in the choreography group 610. The size and type section 614 provides an internal characterization of the multiplex data as well as the total length of the multiplex data  
20 for the object contained in this particular choreography group 610. An object header information section 616 follows the size and type information section 614. The object header section 616 includes a section 618 that contains the length of the object's data slice within the  
25 group 610 and an object reference section 620 that provides a back reference to the object's description 604. The data slice length section 618 is an optional parameter, because, if only one object is contained in the group 610 (i.e., the object counter 612 indicates  
30 "1"), the slice length is assumed to be the same as the length information provided in size and type section 614.

The actual interleaved data 622 follows the object header information 616. The interleaved data 622

- 26 -

constitutes the bulk of the information included in the choreography group 610 and includes the data destined for the referenced object. The interleaved slice data 622 is preferably provided as a series of raw data bytes. The  
5 length of the interleaved slice data is given by the data slice length section 618 for each object in the choreography group 610. Each object supplies its indicated length of data in turn, repeating until completion of the full amount of data given by the size  
10 and type section 614 for each object. The last slice of a particular object may be shorter than the size given by the size and type section 614. In such a case, the last slice will correspond to the remaining total bytes to be delivered in the group 610, as indicated by the size and  
15 type section 614. Reference numeral 626 is an exemplary interleaved slice data section for two objects. If the object count 612 indicates "1", there is only one object in the group 610 and only one slice of data of the length given by the single entry in the size and type section  
20 614. These single object groups represent non-interleaved data, as described above.

The author may place objects or portions of objects into the choreography groups 610 by means of the user-interface. By placing an object into the same  
25 choreography group as another object, the author directs that those objects will be delivered interleaved together. Consequently, those interleaved objects will appear progressively over the same duration during the file download. Objects placed in later choreography  
30 groups will appear in time after objects placed in earlier groups. The data for a particular object may be placed in different choreography groups to create, for example, the effect of having only the initial splashes

- 27 -

of images appear early in the document, followed by the text of the document in a later group, and finally by the remainder of the image data in even later groups.

An example of choreography for an ARTDOC document  
5 700 is shown in FIGURE 7, which illustrates three  
displays of the document 700 over time. In this  
embodiment, portions of the entirety of the ARTDOC  
document 700 can be sent simultaneously. A first part of  
the ARTDOC document 700 is displayed at time  $T_1$  as a  
10 "splash" of all images 702 and 703. This is followed by a  
second part of the document 700 at time  $T_2$ , including more  
information about the images 702, 703 as well as text  
part 1 704. Time  $T_3$  shows the final ARTDOC document 700,  
with completed images 702, 703, as well as additional  
15 text parts 2 and 3, 706 and 708, respectively.

If the user does not specify a particular  
choreography, a default organization will be provided.  
The default organization preferably groups objects from  
top to bottom as they appear in the ARTDOC document.  
20 Moreover, in the default, objects that are located  
roughly near one another may be placed in the same group  
to ensure that they will be delivered together.

FIGURE 8 illustrates the default method for  
organizing an ARTDOC document 800. The ARTDOC document  
25 800 is conceptually arranged into a number of areas,  
called "buckets." Each bucket can be a physical area on  
the ARTDOC document 800, or alternatively could be a  
portion of different areas on the ARTDOC document 800.  
FIGURE 8 shows the ARTDOC document 800 being broken up  
30 into four physical sections—Section 1 810, Section 2 820,  
Section 3 830, and Section 4 840. Section 1 810 includes  
both text 812 and an image I1 814. Section 2 820 includes  
text 822 and an image I2 824, as well as a portion 826 of

- 28 -

image I3. Section 3 830 includes another portion 832 of image I3 and some text 834. Section 4 840 includes only text 842.

Each of these sections 810, 820, 830, 840 is  
5 treated as a bucket, and each bucket represents an area on the ARTDOC document 800. Each bucket is analyzed to determine slice sizes that make sense. An appropriate slice should be big enough to alter the perception presented to the user, but small enough to enable  
10 simultaneous occurrence of events.

Bucket 1 (i.e., Section 1 810) has two objects including the text portion 812 and image I1 814. Bucket 2 (i.e., Section 2 820) has three objects, the text 822, the image I2 824, and the portion 826 of image I3. Bucket  
15 3 (i.e., Section 3 830) has two objects, the other portion 832 of image I3 and the text 834, and Bucket 4 (i.e., Section 4 840) has only one object, the text 842. Therefore, the system of the present invention includes multiple areas forming the ARTDOC document 800.

20 The integrated file format of the present invention allows all parts of the image to be received in sequence. The sequence can be set by the author of the document being perceived. The sequence is also such that at least part of the sequence allows portions of the  
25 information in the document to be progressively received.

A number of embodiments of the present invention have been described. Nevertheless, it will be understood that various modifications may be made without departing from the spirit and scope of the invention. Accordingly,  
30 it is to be understood that the invention is not to be limited by the specific illustrated embodiment, but only by the scope of the appended claims.

- 29 -

**CLAIMS**

WHAT IS CLAIMED IS:

1. A method for producing a hierarchical data file  
for a multimedia document, the data file having  
5 different file formats encapsulated within the  
data file, the method comprising:
  - a. encapsulating in a multimedia document a  
first file support object including  
information in a first file format;
  - 10 b. supporting the first file support object by  
the multimedia document;
  - c. encapsulating in the multimedia document a  
second file support object including  
information in a second file format different  
15 from the first file format; and
  - d. supporting the second file support object by  
the multimedia document.
2. The method of claim 1, further comprising changing  
at least one of the objects in the data file.
- 20 3. The method of claim 1, further comprising adding  
at least one object to the data file.

- 30 -

4. The method of claim 1 wherein the data file is displayed in a window on a computer display, the method further comprising:
  - 5 a. creating an exclusionary area within the window; and
  - b. locating an object within the exclusionary area, the object being selected from a group of data objects including a framed image, a slide show, framed text, sound data, a separator, or a hyperlink.
- 10 5. The method of claim 1 wherein the data file includes splash image data defining a splash image, the method further comprising locating the splash image data within the data file such that  
15 the splash image is displayed on a computer display as the splash image data is received by a receiver coupled to the computer display.
- 20 6. The method of claim 5, further comprising locating update splash data that further defines the splash image within the data file such that the splash image is updated on the computer display as the receiver receives the update splash data.
- 25 7. The method of claim 1, further comprising providing each object with an address indicating a player that plays the object.
8. The method of claim 1, further comprising compressing the information in each object.



- 31 -

9. The method of claim 1 wherein the data file is downloaded by a receiving computer, the method further comprising:
- a. creating an unknown object in the data file;
  - 5 and
  - b. locating player data within the unknown object defining a player that plays the unknown object.
10. A hierarchical data file structure that
- 10 encapsulates a plurality of different file formats to form a multimedia document, the multimedia document being capable of being displayed on a display of a computer system, the data file comprising:
- 15 a. a document including information for controlling the display;
  - b. a first support object including information in a first file format, the first support object being encapsulated in the document and
  - 20 being capable of supporting a plurality of first lower objects, each first lower object being a lower level object than the first support object in the hierarchical data file structure; and
  - 25 c. a second support object including information in a second file format different from the first file format, the second support object being encapsulated in the document and being capable of supporting a plurality of second
  - 30 lower objects, each second lower object being a lower level object than the second support object in the hierarchical data file structure.

- 32 -

11. The hierarchical data file structure of claim 10 wherein the first file format is selected from a group of file formats including a textual file format, an image file format, and a sound file format; and wherein the second file format is selected from a group of file formats including a textual file format, an image file format, and a sound file format.
12. The hierarchical data file structure of claim 10 wherein, when an operation is performed on a higher level object of the hierarchical data file structure, the operation is also performed on all objects occupying a lower level in the hierarchy than the higher level object.
13. The hierarchical data file structure of claim 10 wherein each object has a plurality of common attributes, including a command for perception of the object, an ability to pass and receive a message, and an ability to supply and retrieve the data embodied in the object.
14. The hierarchical data file structure of claim 10 wherein each object is a generic element of the hierarchical data file structure, such that any combination of objects can be grouped together to form a part of the multimedia document.

- 33 -

15. The hierarchical data file structure of claim 10 wherein the document forms a code segment that receives image information; and wherein the image information is used to construct an image frame  
5 for a framed image that is part of the multimedia document.
16. The hierarchical data file structure of claim 15 wherein the framed image has an image data format; and wherein a decoder determines the image data  
10 format and encapsulates the framed image with the image frame.
17. A file format for storing a plurality of different types of data so that the plurality of data types can be displayed on a computer display as a  
15 multimedia document, the multimedia document including a plurality of multimedia object files, the multimedia document being embodied in a hierarchical data file, each object file having a level in the hierarchy of the data file, the file  
20 format comprising:
- a. a header;
  - b. an object archive for storing information about the plurality of object files, including information about the level of each  
25 multimedia object file within the hierarchy; and
  - c. a multiplex section including data for each of the multimedia object files of the multimedia document.

- 34 -

18. The file format of claim 17 wherein the multimedia object files in the multiplex section are each played by a player as the multiplex object file is received by a receiver.
- 5 19. The file format of claim 17 wherein the data for at least some of the multimedia object files is interleaved.
20. The file format of claim 17 wherein the object archive includes data defining geometry of the  
10 multimedia document.
21. The file format of claim 17 wherein each of the multimedia object files is defined by at least one data slice; and wherein the multiplex section further includes:
- 15 a. an object number counter indicating the number of multimedia object files following the object number counter;
- b. a plurality of object descriptions, each  
20 object description corresponding to one of the multimedia object files, each object description including an object reference that refers to the corresponding object information in the object archive and a flag indicating whether the corresponding object  
25 data is compressed; and
- c. a choreography group providing information about a first group of multimedia object files.

- 35 -

22. The file format of claim 21 wherein each multimedia object file is divided into at least one data slice and the choreography group includes:
- 5 a. an object counter indicating the number of multimedia object files in the choreography group;
- b. size and type data for each multimedia object file;
- 10 c. header data; and
- d. the data slices of the multimedia object files interleaved together.
23. The file format of claim 17, further comprising a non-multiplex section following the multiplex
- 15 section, the non-multiplex section including a plurality of separate object files that are not played by a player as the separate object files are received by a receiver.
24. A method of encoding a framed image in a frame,
- 20 the frame to be included as part of a multimedia document, the multimedia document encapsulating data in a plurality of file formats and being capable of being displayed on a display of a computer, the computer including a player,
- 25 comprising:
- a. placing an image into the multimedia document;
- b. receiving image information about the image by the player;
- 30 c. decoding the image information;
- d. sending the decoded image information to the multimedia document; and

- 36 -

- e. enclosing the decoded image information into a frame in the multimedia document.

25. A method for multiplexing data in a multiplex message that includes data in a plurality of file formats, the file formats being selected from a group of file formats including a textual file format, an image file format, and a sound file format, the multiplex message forming at least a portion of a multimedia document and including a plurality of object files, each object file being represented by at least one data slice, the method comprising:
- a. providing an object number counter in the data file indicating the number of object files following the object number counter in the data file;
  - b. providing a plurality of object descriptions, each object description describing a corresponding one of the object files; and
  - c. providing a choreography group including the data slices of the object files interleaved in a predetermined manner.
26. The method of claim 25, further comprising providing a first player pointer including an address of a player that plays the choreography group.

- 37 -

27. The method of claim 25 further comprising locating a plurality of slice size data blocks before the interleaved data slices, each slice size data block corresponding to one of the data slices and providing a size of the corresponding data slice.
- 5
28. A file format for storing a plurality of different types of data so that the plurality of data types can be displayed on a computer display as a multimedia document, the multimedia document including a plurality of multimedia object files, the multimedia document being embodied in a hierarchical data file, each object file having a level in the hierarchy of the data file, the file format comprising an object archive for storing information about the plurality of object files, including information about the level of each multimedia object file within the hierarchy.
- 10
- 15
29. A file format for storing a plurality of different types of data so that the plurality of data types can be displayed on a computer display as a multimedia document, the multimedia document including a plurality of multimedia object files, the file format comprising a multiplex section including data for each of the multimedia object files of the multimedia document.
- 20
- 25
30. The file format of claim 29 wherein at least some of the data in the multiplex section corresponding to different object files is interleaved.

1/7

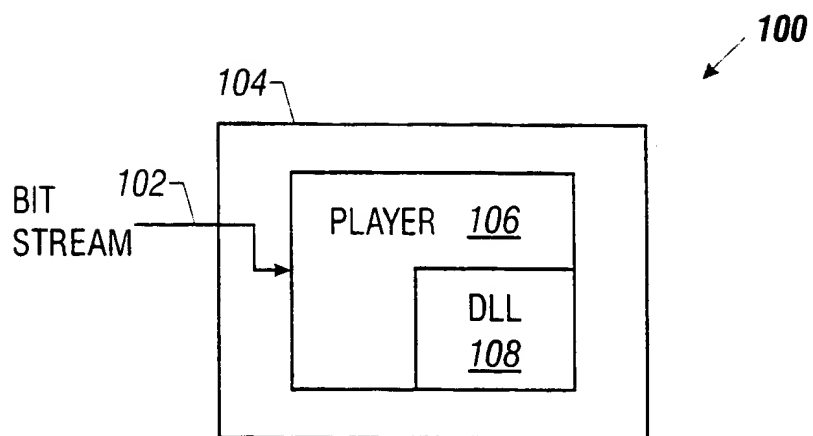


FIG. 1

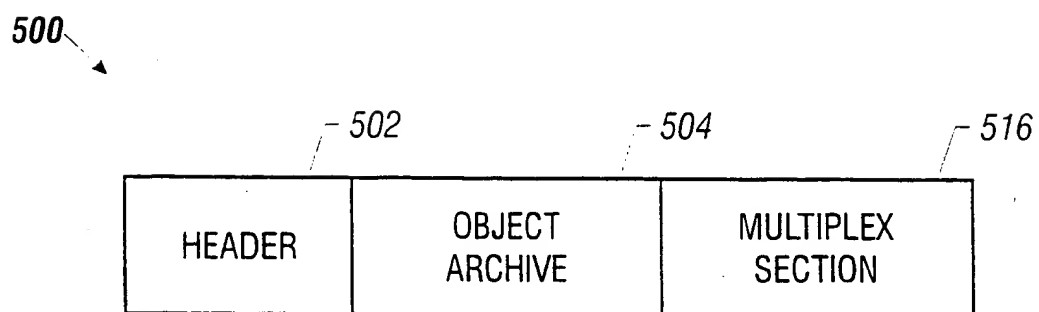


FIG. 5



2/7

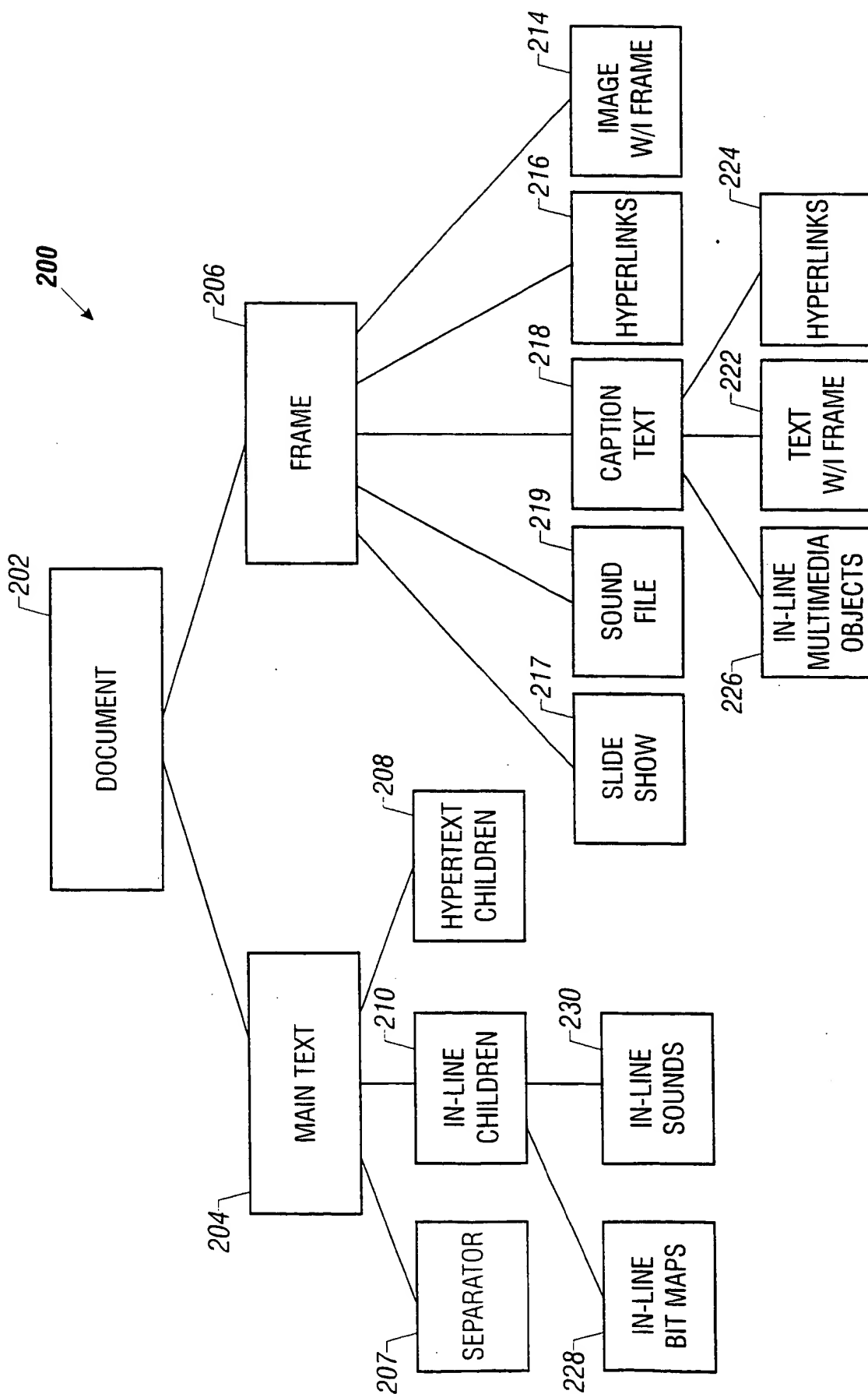


FIG. 2

3/7

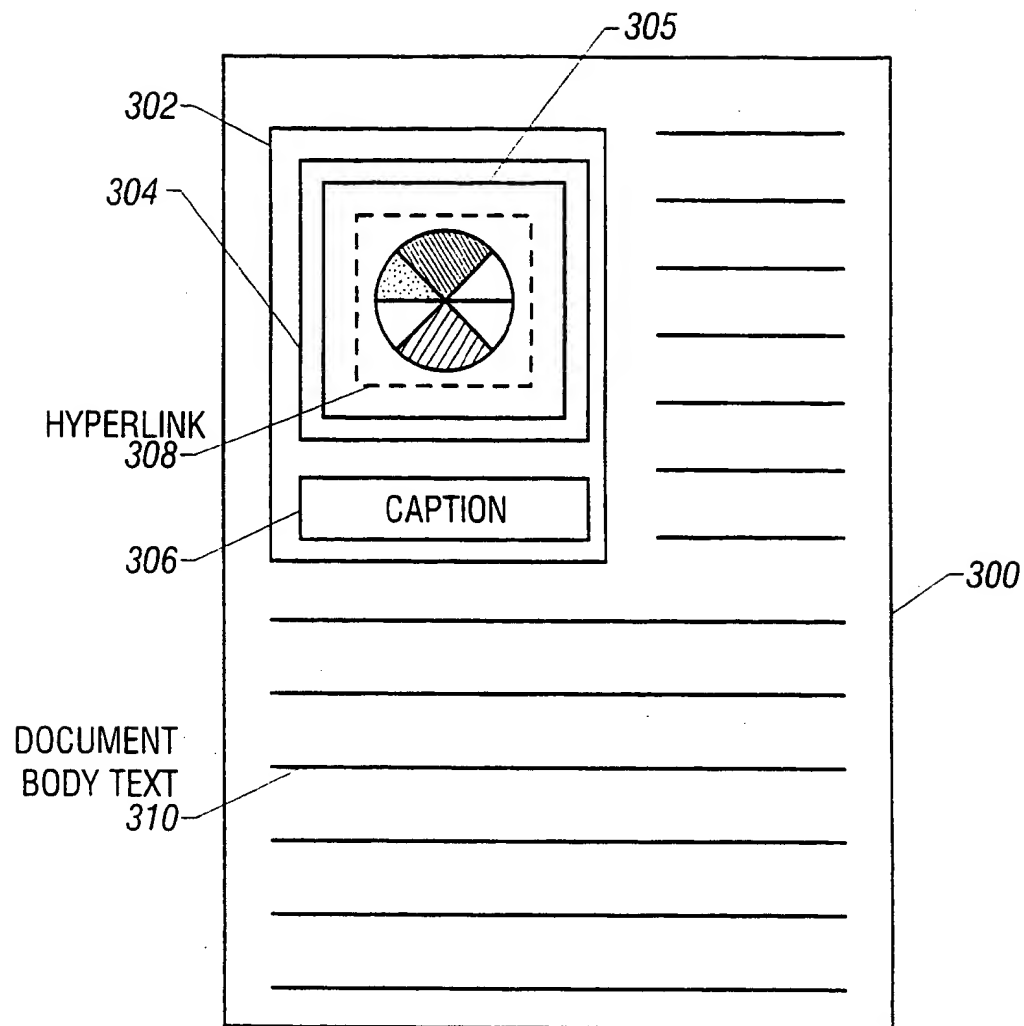
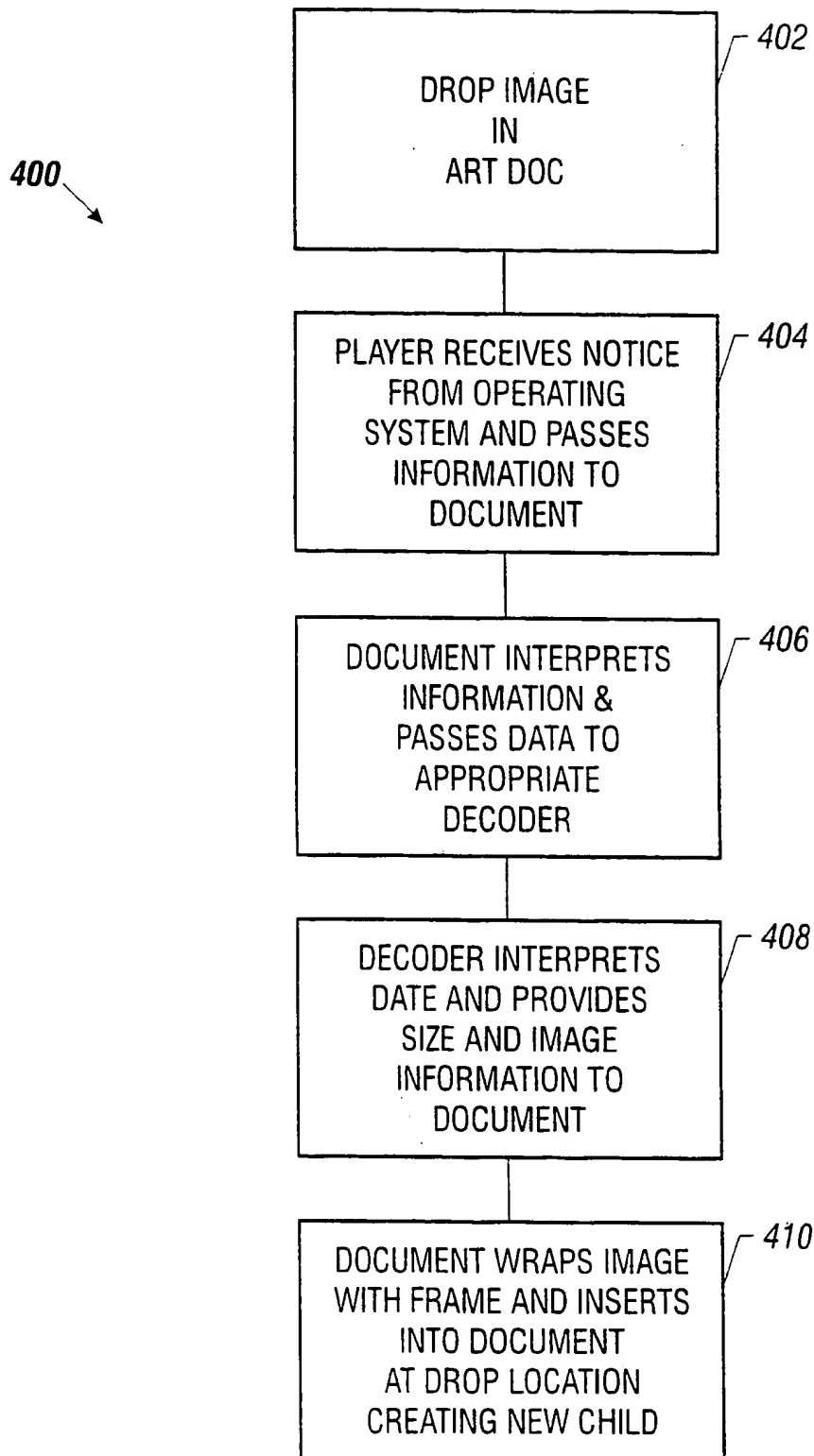


FIG. 3

4/7

**FIG. 4**

5/7

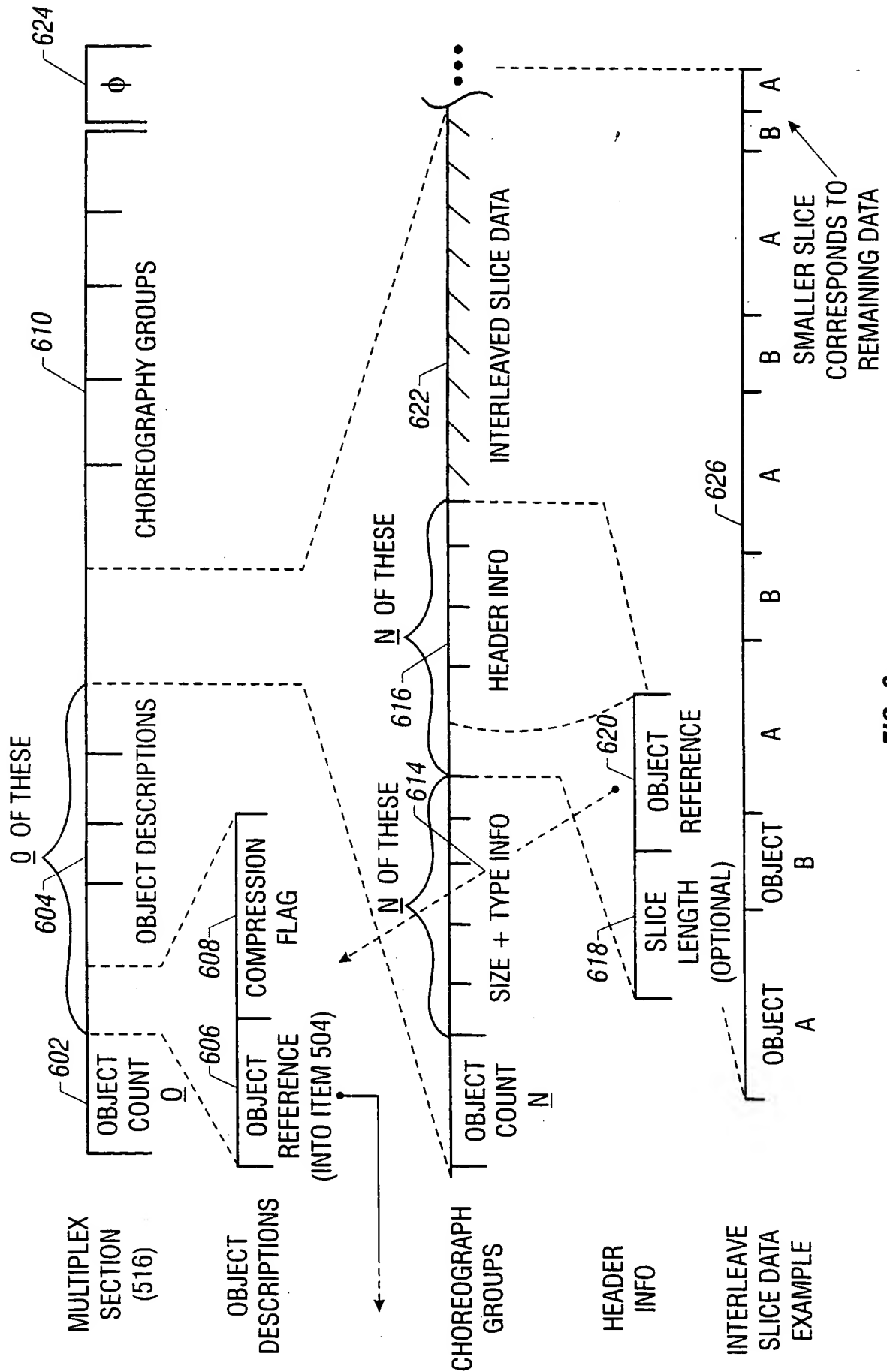


FIG. 6

6/7

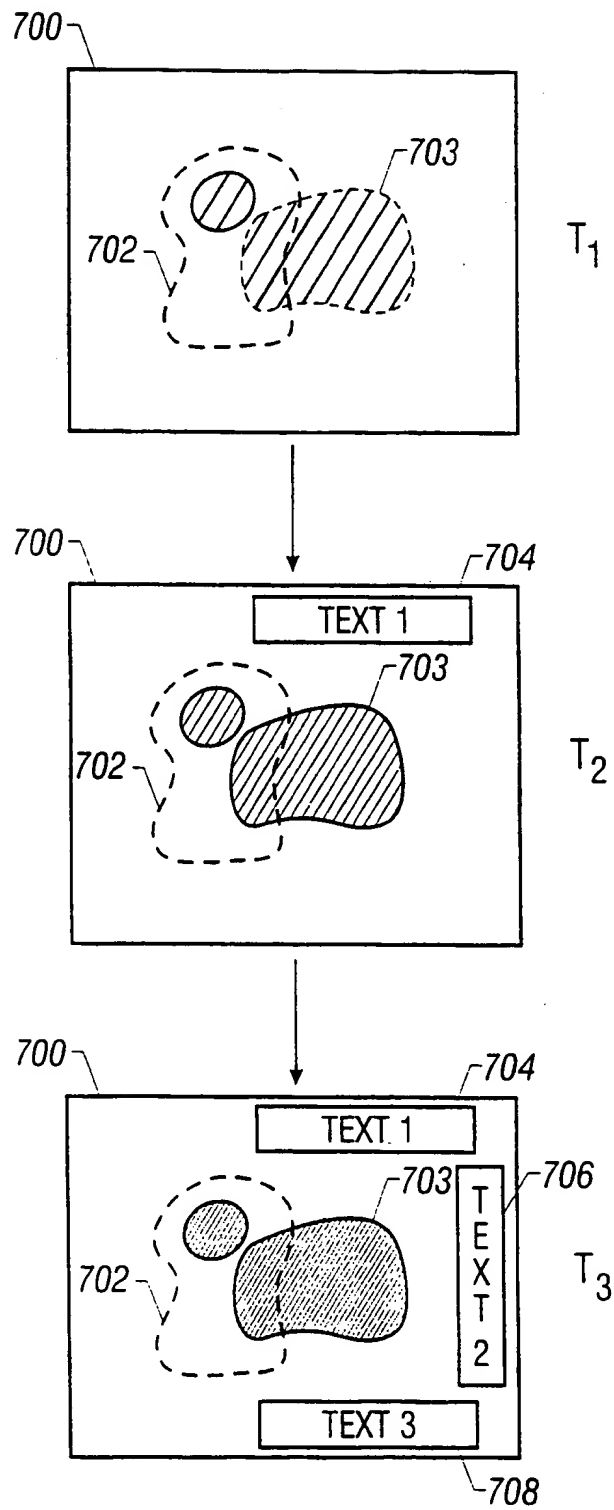


FIG. 7

7/7

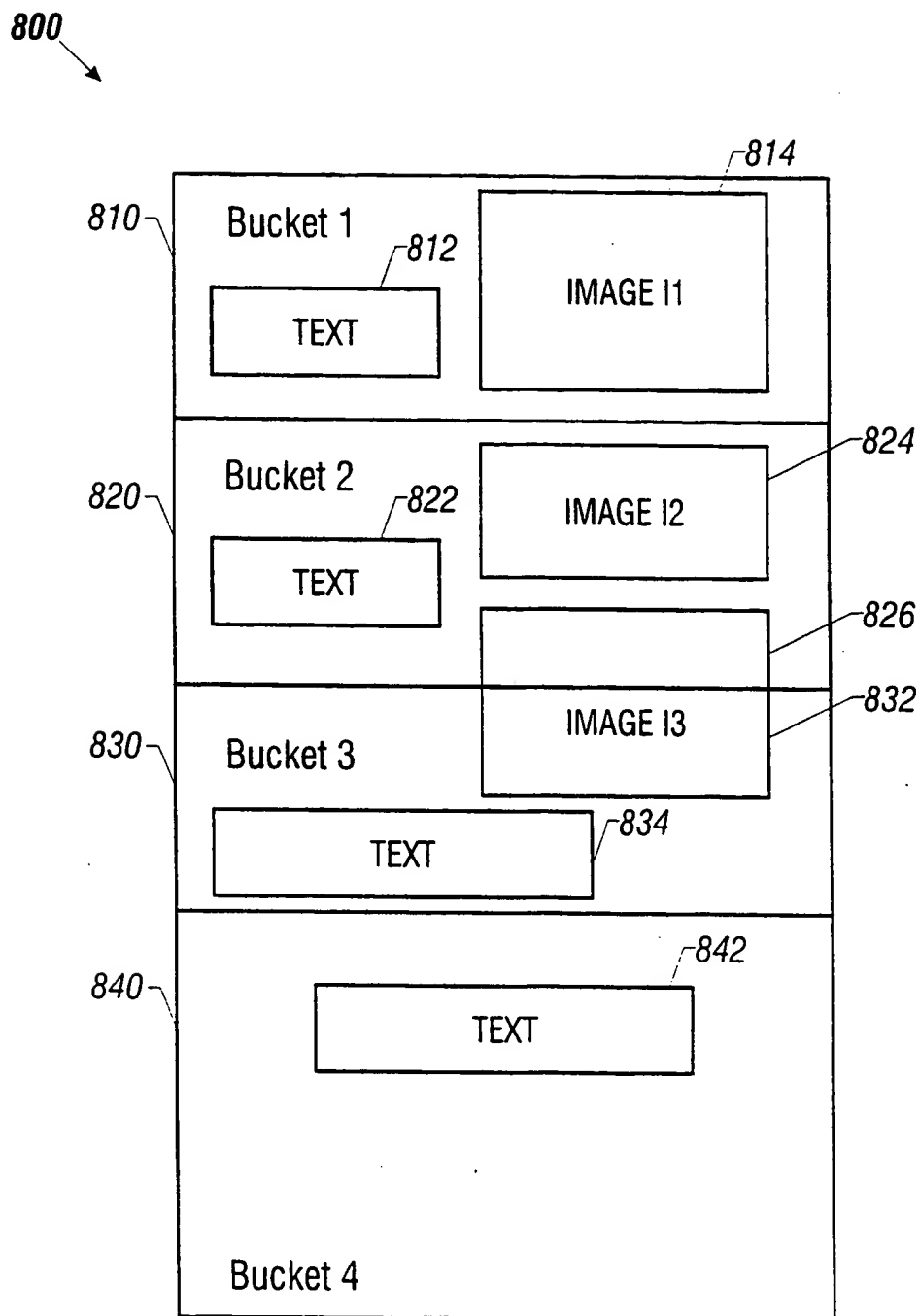


FIG. 8

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US98/11060

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(6) : G06F 7/32

US CL : 707/513

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 707/513,514,515,516,1,2,101

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)


APS, IEEE

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y,E	US 5,787,440 A (BAKKE et al.) 28 JULY 1998, see abstract	1-30
Y,P	US 5,715,441 A (ATKINSON et al.) 3 FEBRUARY 1998, see abstract	1-30
Y,P	US 5,706,504 A (ATKINSON et al.) 6 JANUARY 1998, see abstract	1-30
Y,E	US 5,778,187 A (MONTEIRO et al.) 7 JULY 1998, see abstract	1-30
Y	US 5,506,983 A (ATKINSON et al.) 9 APRIL 1996, see abstract	1-30

☐ Further documents are listed in the continuation of Box C.
 ☐ See patent family annex.

* Special categories of cited documents:	* T later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
* A document defining the general state of the art which is not considered to be of particular relevance	* X document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
* E earlier document published on or after the international filing date	* Y document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
* L document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	* & document member of the same patent family
* O document referring to an oral disclosure, use, exhibition or other means	
* P document published prior to the international filing date but later than the priority date claimed	

Date of the actual completion of the international search 25 AUGUST 1998	Date of mailing of the international search report 28 SEP 1998
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231 Facsimile No. (703) 305-3230	Authorized officer ANTON W. FETTING  Telephone No. (703) 305-8449